SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
OMB Number: 3235-0104
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>DESOCIO JAMES F</u>			2. Date of Event Re Statement (Month/ 09/25/2017		INTELLINETICS, INC. [INLA]						
(Last) (First) (Middle)					4. Relationship of Reporting Person((Check all applicable)		s) to Issuer		5. If Amendment, Date of Original Filed (Month/Day/Year)		
2190 DIVIDEND DR.					X X	Director Officer (give title below) Chief Executive C	10% Owner Other (speci		6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) COLUMBUS OH 43228					below) Officer		2		y One Reporting Person y More than One Reporting		
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)4.		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities I Derivative Security (Instr. 4)		Underlying	4. Conversio or Exercis	e (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	Title		Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)		
OPTION			(1)	09/24/2017		Common Stock	750,000	0.3	D		
OPTION			(2)	09/24/2017		Common Stock	500,000	0.38	D		

Explanation of Responses:

1. Options vest quarterly over a 2-year period, ending December 25, 2019.

2. Options vest quarterly over a 2-year period, ending December 25, 2019. In addition, options are subject to further availability contingencies based on the 2015 Intellinetics, Inc. Equity Incentive Plan, including shareholder approval of a Plan Amendment.

/s/ James F. DeSocio

** Signature of Reporting Person

<u>11/07/2017</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.